



Overseas Research Organisations Due Diligence Policy

CU-RIO-POL-15.0 – V2

Introduction

This policy sets out the steps that the Cranfield University will undertake to ensure a transparent and auditable understanding of the risks involved when working with overseas research partners where UK Research and Innovation (UKRI) funding is being used, whilst mitigating and managing risk on an appropriate and proportionate basis.

The purpose of this policy is to assure Cranfield University is contractually compliant in its engagement with UKRI, adopts commercial best practice and seeks to protect the interests of the UK government and the reputation of Cranfield in its engagements overseas whilst recognising its responsibility towards global security and fraud prevention.

Compliance with UKRI Due Diligence Guidelines

UKRI Grant Terms and Conditions require all grant receiving institutions to comply with the following:

“RGC 2.6 You must carry out appropriate due diligence on any Third Parties used to deliver any part of the Project and shall ensure in particular, that such Third Parties comply with these Standard Terms and Conditions of Grant and any Specific Terms and Conditions of Grant. At UKRI’s request, you must provide details of expenditure of the Grant by any Third Party. Where all, or part, of the Project is carried out by Third Parties based overseas, you must follow the [UKRI International Due Diligence Guidance](#).”

This policy and its associated processes in compliance with the above will ensure Cranfield has the capacity to respond to an audit by UKRI and provide written confirmation that we have performed the necessary due diligence prior to grant award.

The Process

This policy’s scope sits separately but complimentary to the University’s Procurement Policy and Research Ethics Policy.

Document control

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Document Review

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